



Chicago Trust Administration Services LLC
200 W. Superior Street, Ste 410
Chicago, IL 60610

Phone: 312-819-0960 Fax: 312-521-7197
Email: info@chicagoselfdirectedira.com

Website: www.chicagoselfdirectedira.com

Account Transfer Request

1. ACCOUNT INFORMATION

First Name M.I. Last Name Date of Birth (mm/dd/yyyy) SSN

Street Address City State Zip

(____) _____ (____) _____ (____) _____
Daytime Phone Number Cell Phone Number Fax Number

Type of Account at Chicago Trust Administration Services, LLC (**check one**):

- Traditional IRA Roth IRA SEP IRA Simple IRA Qualified Retirement Business Plan

2. CURRENT TRUSTEE/CUSTODIAN INFORMATION

Name of Current Trustee/Custodian Account Number with Current Trustee/Custodian

Street Address City State Zip

Contact Name (____) _____
Phone Number

Type of Account Being Transferred (**check one**):

- Traditional IRA Roth IRA SEP IRA Simple IRA Qualified Retirement Business Plan

3. TRANSFER TYPE

Please make your selection below and complete "Transfer Instructions" in Section 4.

A. Complete Account Transfer - A transfer describes the movement of assets directly between two financial institutions; i.e., there is no distribution to the Account Holder. Under this arrangement no tax forms are generated by the Trustee/Custodian. Please provide complete transfer instructions in section 4.

B. Partial Account Transfer Only - A partial transfer describes the movement of a portion of assets directly between two financial institutions; i.e., there is no distribution to the Account Holder. Under this arrangement no tax forms are generated by the Trustee/Custodian. Please provide complete transfer instructions in section 4.

C. Direct Rollover Only - The transfer of retirement plan assets, such as a profit sharing plan, defined benefit plan, or 401(k) plan, between a company and the financial institution providing the new retirement plan account. A direct rollover to Chicago Trust Administration Services LLC IRA will avoid the mandatory 20% federal withholding imposed on such distributions. Please provide complete transfer instructions in section 4.

4. TRANSFER INSTRUCTIONS

Provide a copy of the most recent Account Statement from your existing Trustee/Custodian and list below all assets to be transferred and indicate whether they are to be liquidated or transferred in-kind. Use additional paper if needed.

Transfer Instructions:

By signing this form, the Accountholder hereby authorizes the present Trustee/Custodian to transfer or rollover the assets noted below.

- Liquidate all assets and transfer proceeds to First Midwest Bank. Please proceed to section 6.
 Transfer all assets "in-kind" along with any cash balance to First Midwest Bank. Please proceed to section 6.
 Transfer the individual assets noted in section 5 pursuant to the instructions contained therein, to First Midwest Bank.

7. ACCOUNTHOLDER AUTHORIZATION AND SIGNATURE

I certify that the assets listed above are held in a qualified retirement plan established and administered under an IRS approved plan and that this plan meets the qualifications of the Internal Revenue Code. If I am over age 70½, I attest that this transfer will not violate the required minimum distribution rules under Section 401(a) (9) of the Internal Revenue Code. I understand that certain proprietary products offered by some firms cannot be transferred in-kind and will have to be liquidated. I hereby warrant and represent that I have established a self-directed account with First Midwest Bank, the new Custodian. I authorize the present Trustee/Custodian to send the cash and/or assets noted to First Midwest Bank, as specified. I understand that I am solely responsible for determining eligibility of all transfers/rollovers and agree to indemnify and hold harmless both the present Trustee/Custodian and the new Trustee/Custodian from any and all costs, obligations, losses, claims, damages, and expenses (including reasonable attorney's fees) related to or associated with this request.

I hereby authorize the present Trustee/Custodian to deliver my cash and/or assets as noted below. If special handling is requested (wire or overnight mail), I authorize the deduction of applicable fees from my account. I understand that failure to indicate a preferred delivery method may result in my cash and/or assets being sent by regular mail service. Accountholders should consult with their present Trustee/Custodian to verify that these delivery options are available to you.

- Wire (cash only) Overnight Mail funds and documentation for asset Wire cash and Overnight Mail documentation for assets

Signature of Accountholder: _____ Date: ____ / ____ / ____

8. LETTER OF ACCEPTANCE

First Midwest Bank hereby accepts the appointment as Custodian of the above specified account and assets noted herein.

NEW REGISTRATION: First Midwest Bank cust FBO _____.

First Midwest Bank Acceptance Signature

By: _____
 Print Name: _____
 Date: _____

Medallion Signature Guarantee

9. DELIVERY INSTRUCTIONS

Make Checks Payable to: UNATCO/First Midwest Bank Cust FBO (client's name) IRA # _____

Wiring Instructions for Cash First Midwest Bank
 ATTN: Rosa Bibian
 ABA# 0719-0160-4
 Account #2000019765
 FBO Client Name and IRA Account #: _____

NOTE: This is an IRA to IRA transfer, NOT a distribution.

Send Receive Physical Securities First Midwest Bank/Trust Division
 ATTN: Rosa Bibian
 2801 West Jefferson Street
 Joliet, IL 60434
 FBO Client Name and IRA Account #: _____

Asset Registration Instructions The registration/title for the investment and other related documents must reflect the bank's name (as Custodian), the name of the Accountholder, type of account & account number, and Custodian's Tax ID Number. NOTE: Qualified Business Retirement Plan (QBRP) may be substituted for IRA where appropriate.

UNATCO/First Midwest Bank Cust FBO (Accountholder's name) IRA # _____
 ATTN: Rosa Bibian
 2801 West Jefferson Street
 Joliet, IL 60435 **Tax ID #:** _____

Delivery Instructions OVERNIGHT AND REGULAR MAIL
 First Midwest Bank/Trust Division
 Attn: Rosa Bibian
 2801 West Jefferson Street
 Joliet, IL 60435